

General information about company	
Scrip code	531557
Name of the entity	AMIT SECURITIES LIMITED
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Yearly
Date of Report	31-03-2017
Risk management committee	Not Applicable

Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Disclosure of notes on composition of board of directors explanatory												Textual Information(1)		
Is there any change in information of board of directors compare to previous quarter												Yes		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	HEMANT SHARMA	AWLPS7475A	06558353	Executive Director	Not Applicable	MD	01-06-2016			1	0	0	
2	Mr	SHIRISH AGRAWAL	AFTPA6684E	00216423	Non-Executive - Independent Director	Chairperson		01-04-2014		36	1	2	2	
3	Mr	VINEET GUPTA	AEMPG8671F	00215594	Non-Executive - Independent Director	Not Applicable		01-04-2014		36	1	2	0	
4	Mr	ANOOP VASUDEO AGARWAL	AAKPA2658Q	06460053	Non-Executive - Independent Director	Not Applicable		01-04-2014		36	1	2	0	

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5	Mrs	ADITI MITTAL	ADCPA5356N	06536363	Non-Executive - Non Independent Director	Not Applicable		07-02-2017			1	0	0	Textual Information(2)

Text Block	
Textual Information(1)	In terms of the Regulation 15(2) the Company is a small Listed Company, hence the Provisions of the Regulation 17 are being complied with voluntarily.
Textual Information(2)	Mrs. Aditi Mittal has been appointed as an Additional Director (in the category of Women Director) w.e.f. 07.02.2017. The Company has also acknowledged the same and also intimated to the Stock Exchange within the stipulated time period.

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	No

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	10-11-2016		
2		07-02-2017	88

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	07-02-2017	Yes	Yes, all members were present	10-11-2016	88	
2	Nomination and remuneration committee	07-02-2017	Yes	Yes, all members were present			

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Textual Information(1)

Text Block

Textual Information(1)

S. No.	Name of the Related Party	Nature of Relation with the Directors/ KMP/ Company	Nature of Transaction	Value of contract or arrangement till previous Quarter 31.12.2016	Transactions during the current Quarter 31.03.2017	Cumulative amount till the end of the Quarter 31.03.2017	Reference for the approval of the ACM/BM/GM and remarks if any.
1.	Rishabh Kumar Jain	CFO (Chief Financial Officer)	Salary/ Remuneration	90,000	30,000	1,20,000	Approved at ACM for giving salary and taken on record by Board at their meeting
2.	Jayanti Chaurasia	CS (Company Secretary) Resigned w.e.f 07.02.2017	Salary/ Remuneration	1,56,000	19,500	1,75,500	Resigned w.e.f 07.02.2017 Salary given for January, 2017 Approved at ACM for giving salary and taken on record by Board at their meeting
3.	Priyanka Sengar	CS (Company Secretary) Appointed w.e.f 07.02.2017	Salary/ Remuneration	-	39,000	39,000	Appointed w.e.f 07.02.2017 Salary given for February and March, 2017. Approved at ACM for giving salary and taken on record by Board at their meeting
4.	Mittal Udyog	Partnership Firm in which promoter is a partner	Facility Fees paid	22,500	7,500	30,000	Approved at ACM and taken on record by Board at their meeting.
5.	Mittal Enterprises	Partnership Firm in which promoter is a partner	Sale of Aluminium Utensils	67,23,645	-	67,23,645	Approved at ACM and taken on record by Board at their meeting
6.	Mittal Udyog Indore Pvt. Ltd.	Associate Company	Purchase of Aluminium Utensils	66,79,205	21,73,690	88,52,895	Approved at ACM and taken on record by Board at their meeting
7.	Arpit Mittal	Karta of Anil Kumar Mittal (HUF) a promoter in company.	Advance Given	Opening Bal. 77,79,855 Addition - Repayment -	Addition 7,73,573 Repayment -	Closing Bal. 85,53,428	Approved at ACM and taken on record by Board at their meeting.

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8.	Mittal Udyog Indore Pvt. Ltd.	Associate Company	Advance Given	Opening Bal. 82,12,110 Addition 26,00,000 Repayment 1,50,000	Addition 7,54,375 Repayment 1,50,000	Closing Balance 1,12,66,485	Approved at ACM and taken on record by Board at their meeting.
9.	Vishal Realmart Pvt. Ltd.	Director is a Promoter	Advance Given	Opening Bal. 25,18,904 Addition - Repayment -	Addition 2,15,366 Repayment -	Closing Balance 27,34,270	Approved at ACM and taken on record by Board at their meeting

In terms of the Regulation 15(2) the Company is a small Listed Company, hence the Provisions of the Regulation 23 are being complied with voluntarily.

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Text Block	
Textual Information(1)	In terms of the Regulation 15(2) the Company is a small Listed Company, hence are being complied with voluntarily.

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.amitsecurities.com
2	Terms and conditions of appointment of independent directors	Yes		www.amitsecurities.com
3	Composition of various committees of board of directors	Yes		www.amitsecurities.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.amitsecurities.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.amitsecurities.com
6	Criteria of making payments to non-executive directors	Yes		www.amitsecurities.com
7	Policy on dealing with related party transactions	Yes		www.amitsecurities.com
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.amitsecurities.com

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.amitsecurities.com
11	email address for grievance redressal and other relevant details	Yes		www.amitsecurities.com
12	Financial results	Yes		www.amitsecurities.com
13	Shareholding pattern	Yes		www.amitsecurities.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3), (4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6), (7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3), (4),(5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided		Textual Information(1)	

Text Block	
Textual Information(1)	In terms of the Regulation 15(2) the Company is a small Listed Company, hence are being complied with voluntarily.

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Signatory Details	
Name of signatory	HEMANT SHARMA
Designation of person	Managing Director
Place	INDORE
Date	13-04-2017

