AMIT SECURITIES LIMITED

CIN- L65990MH1992PLC067266
Reg Office: 1ST FLOOR, SWADESHI MARKET 316, KALBADEVI ROAD MUMBAI CITY MH 400002 IN
[Tel: 0731-3521700][Email: info@amitltd.com][Website:www.amitsecurities.com]

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Neha Sharma,** Compliance Officer of **AMIT SECURITIES LTD, am** aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database;
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally and an audit trail is maintained; and
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one (1) number of events during the quarter ended and has captured (1) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Not Applicable

For AMIT SECURITIES LTD

For Amit Securities Limited
Authorised Signatory

Neha Sharma (Dubey)
Compliance Officer of the company
Date: 18.11.2022
Place: Indore